



Docket No.: 1330.1013C2

#12/C
W/H

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Donald A. SMALLEY, et al.

Serial No. 09/837,428

Group Art Unit: 2171

Confirmation No. 8921

Filed: April 19, 2001

Examiner: To be Assigned

For: SYSTEM FOR MANAGING REGULATED ENTITIES

SUPPLEMENTAL PRELIMINARY AMENDMENT

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

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MAY 09 2003

Technology Center 2100

Prior to the Examination of the above identified application the following amendments and remarks are respectfully submitted. Consideration of the claims is respectfully requested.

IN THE CLAIMS:

Please ADD new claims 73-89 in accordance with the following:

73. (New) A system for managing regulatory programming information as applied to a plurality of regulated entities, comprising:

a user interface inputting operational data for selected subject items of a selected regulated entity for storage as regulatory information where at least one subject item of at least one regulated entity relates to a plurality of regulatory program areas; and

a centralized database storing the operational data as the regulatory information on a plurality of subject items for a plurality of regulated entities where the regulatory information stored in the centralized database is accessible to a plurality of departments within a regulatory agency that are responsible for different regulatory program areas.

74. (New) The system of claim 73, wherein the centralized database further comprises a requirements library that defines standard requirements for each subject item.

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02 FC:1202

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